### LSU Deposit and Collateral Report For the Quarter Ended September 30, 2019

Deposits Requiring Posting of Collateral:		Demand Deposit Sweep/MMA Repurchase		Certificates of Deposit		Total Deposits in Financial Institutions		Collateral (1)	
LSU A&M, LSU Alexandria, LSU Eunice, LSU Shreveport,	and	LSU Health New	Orl	eans					
Chase - LSU, Health Plan Premium	\$	186,806,738			\$	186,806,738	\$	221,207,683	
Cottonport Bank-LSU Ag Center		617,441				617,441		1,001,222	
Capital One - LSU-A		6,198,280				6,198,280		6,198,281	
St. Landry Bank - LSU-E		425,391				425,391		1,198,730	
Campus Federal Credit Union - LSU-S			\$	100,364		100,364		250,000	
Capital One (Hibernia National Bank) - LSU-S		28,186,549				28,186,549		28,357,876	
Chase-HSCNO		59,396,333		18,600		59,414,933		75,107,051	
Total	\$	281,630,732	\$	118,964	\$	281,749,696	\$	333,320,843	
LSU Health Shreveport									
JPMC-Shreveport	\$	70,683,655			\$	70,683,655	\$	97,750,000	
JPMC-Shreveport Endowment Fds		1,608,982				1,608,982			
Total	\$	72,292,637	\$	-	\$	72,292,637	\$	97,750,000	
LSU Health Care Services Division									
JP Morgan Chase (HCSD)	\$	81,683,701			\$	81,683,701	\$	89,850,000	
Capital One (MCLNO Trust Fund)		4,193,473				4,193,473		4,323,370	
Total	\$	85,877,174	\$	-	\$	85,877,174	\$	94,173,370	
Total Requiring Collateral	\$	439,800,543	\$	118,964	\$	439,919,507	\$	525,244,213	
Deposits In Trust or Federal Obligations Not Requiring Co	•	, ,	<b>—</b>	110,904	<b>—</b>	439,919,507	<b>•</b>	525,244,213	
Federated Money Markets	\$	15,297,617			\$	15,297,617			
Federated Funds-Treas. Oblig. (2)	Ψ	10,201,011			Ψ				
Tota	I \$	15,297,617	\$		\$	15,297,617			
Total Deposits		455,098,160	\$	118.964	\$	455,217,124			

<sup>(1)</sup> Collateral amounts include FDIC coverage of \$250,000 on Demand Deposits, \$250,000 on CD's and \$250,000 by the National Credit Union Share Insurance Fund on deposits with Campus Federal Credit Union.

<sup>(2)</sup> One Group & Federated Funds are no-load, open ended mutual funds investing is U.S. Treasury obligations.

### LSU Investment Summary For the Quarter Ended September 30. 2019

Investment Summary For the Quarter Ended September 30, 2019										
	As of 7/1/2019	As of 9/	As of 9/30/2019 As of 12/31/2019 As of 3/31/2020					As of 06/30/2020		
Fund Description	Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	
Current Funds	<b>****</b>	* 400 000 000	*400.000.000		40	**	••			
Cash/Sweeps Money Market Accounts/Repos (A)	\$306,865,611 \$0	\$433,998,088 \$3,584,799	\$433,998,088 \$3,584,799	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Certificates of Deposit	\$100,364	\$100.364	\$100.364	\$0	\$0	\$0	\$0 \$0	\$0 \$0	\$0	
Treasury Notes	\$21,227,616	\$24,248,173	\$25,076,720	\$0	\$0	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$126,643,680	\$106,921,423	\$107,507,611	\$0	\$0	\$0	\$0	\$0	\$0	
Mortgaged Backed Securities	\$21,114,859	\$20,134,112	\$20,172,349	\$0	\$0	\$0	\$0	\$0	\$0	
Equity Securities (C)	\$76,045	\$0	\$72,202	\$0	\$0	\$0	\$0 \$0	\$0 \$0	\$0 \$0	
Bond Mutual Funds Municipal Bonds (E)	\$44,637,463 \$71,084,957	\$46,697,531 \$85,629,362	\$44,946,666 \$84,109,370	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Corporate Bonds (D)	\$182,155,503	\$181,683,271	\$184,810,343	\$0	\$0 \$0	\$0	\$0 \$0	\$0	\$0	
Total	\$773,906,098	\$902,997,123	\$904,378,512	\$0	\$0	\$0	\$0	\$0	\$0	
Endowment Funds										
Cash/Sweeps	\$2,155,226	\$1,608,982	\$1,608,982	\$0	\$0	\$0	\$0	\$0	\$0	
Money Market Accounts/Repos (A)	\$0	\$1,319,073	\$1,319,073	\$0	\$0	\$0	\$0	\$0	\$0	
Certificates of Deposit	\$18,600	\$18,600	\$18,600	\$0	\$0	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$2,458,460	\$2,060,880	\$2,066,080	\$0	\$0	\$0	\$0	\$0	\$0	
Mortgaged Backed Securities Equity Securities (C)	\$2,061,535 \$11,033,662	\$1,763,865 \$2,313,179	\$1,801,620 \$9,649,612	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Mutual Funds	\$62,469,830	\$57,440,989	\$63,561,655	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0	\$0 \$0	
Municipal Bonds (E)	\$3,160,443	\$3,144,095	\$3,184,648	\$0	\$0	\$0	\$0	\$0	\$0	
Corporate Bonds (D)	\$9,703,121	\$10,847,437	\$11,139,224	\$0	\$0	\$0	\$0	\$0	\$0	
Total	\$93,060,877	\$80,517,100	\$94,349,494	\$0	\$0	\$0	\$0	\$0	\$0	
Other Funds										
Cash/Sweeps	\$4,042,419	\$4,193,473	\$4,193,473	\$0	\$0	\$0	\$0	\$0	\$0	
Money Market Accounts/Repos (A)	\$32,544,793	\$10,393,745	\$10,393,745	\$0	\$0	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$644,873	\$625,500	\$650,631	\$0	\$0	\$0	\$0	\$0	\$0	
Equity Securities (C)	\$380,085	\$0	\$350,226	\$0	\$0	\$0	\$0	\$0	\$0	
Total	\$37,612,170	\$15,212,718	\$15,588,075	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Grand Total	\$904,579,145	\$998,726,941	\$1,014,316,081	<b>\$0</b>	φυ	ψU	ΨU	Ψ0	Φ0	
Deposits in Financial Institutions	<b>#245 000 040</b>	£455 000 400	£455,000,400	***	¢0	¢o.	<b>#</b> 0	ro.	0.0	
Total Cash/Sweeps/MMA/Repos Total Certificates of Deposit	\$345,608,049 \$118,964	\$455,098,160 \$118,964	\$455,098,160 \$118,964	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Total Deposits	\$345,727,013	\$455,217,124	\$455,217,124	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Other Investments	<b>40-10,121,010</b>	Ψ400,211,124	Ψ-100,211,12-1	**	Ψ*	**	Ų.	ų,	**	
Treasury Notes	\$21,227,616	\$24,248,173	\$25,076,720	\$0	\$0	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$129,747,013	\$109,607,803	\$110,224,322	\$0	\$0	\$0	\$0	\$0	\$0	
Mortgaged Backed Securities	\$23,176,394	\$21,897,977	\$21,973,969	\$0	\$0	\$0	\$0	\$0	\$0	
Equity Securities (C) Bond Mutual Funds	\$11,489,792 \$44,637,463	\$2,313,179 \$46,697,531	\$10,072,040 \$44,946,666	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Mutual Funds	\$62,469,830	\$57,440,989	\$63,561,655	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	
Municipal Bonds (E)	\$74,245,400	\$88,773,457	\$87,294,018	\$0 \$0	\$0 \$0	\$0	\$0 \$0	\$0	\$0	
Corporate Bonds (D)	\$191,858,624	\$192,530,708	\$195,949,567	\$0	\$0	\$0	\$0	\$0	\$0	
Total Other	\$558,852,132	\$543,509,817	\$559,098,957	\$0	\$0	\$0	\$0	\$0	\$0	
Grand Total	\$904,579,145	\$998,726,941	\$1,014,316,081	\$0	\$0	\$0	\$0	\$0	\$0	
LSU Paid Campuses										
Current Funds Cash/Sweeps	\$145,091,212	\$281,630,732	\$281,630,732							
Money Market Accounts/Repos (A)	φ145,091,212	\$3,584,799	\$3.584.799							
Certificates of Deposit	\$100,364	\$100.364	\$100.364							
Agency Securities (B)	\$118,806,417	\$101,418,244	\$102,014,148							
Mortgaged Backed Securities	\$21,073,826	\$20,091,807	\$20,131,885							
Equity Securities (C)	\$76,045		\$72,202							
Bond Mutual Funds	\$44,637,463	\$46,697,531	\$44,946,666							
Municipal Bonds (E)	\$56,657,029	\$75,176,005	\$73,582,342							
Corporate Bonds (D) Total	\$158,183,933 <b>\$544,626,289</b>	\$158,430,493 \$687,129,975	\$160,716,063 <b>\$686,779,201</b>	\$0	\$0	\$0	\$0	\$0	\$0	
10441	<b>4044,020,200</b>	<b>4001,120,010</b>	\$000,110,201	**	Ψ.	**	40	Ψu	Ψ*	
Endowment Funds										
Money Market Accounts/Repos (A)		\$1,319,073	\$1,319,073							
Certificates of Deposit	\$18,600	\$18,600	\$18,600							
Agency Securities (B)	\$2,458,460	\$2,060,880	\$2,066,080							
Mortgaged Backed Securities	\$2,061,535	\$1,763,865 \$2,305,529	\$1,801,620 \$0,630,843							
Equity Securities (C) Municipal Bonds	\$11,015,092 \$3,160,443	\$2,305,529 \$3,144,095	\$9,629,843 \$3,184,648							
Corporate Bonds (D)	\$9,703,121	\$10,847,437	\$3,164,646 \$11,139,224							
Total	\$28,417,251	\$21,459,479	\$29,159,088	\$0	\$0	\$0	\$0	\$0	\$0	
Other Funds			· · · ]		• •					
Money Market Accounts/Repos (A)	\$23,776,969	\$1,579,236	\$1,579,236							
Agency Securities (B)	\$644,873	\$625,500	\$650,631							
Total Grand Total	\$24,421,842 \$597,465,382	\$2,204,736 \$710,794,190	\$2,229,867 \$718,168,156	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	

### LSU **Investment Summary** For the Quarter Ended September 30, 2019

	As of 7/1/2019	As of 9/	30/2019	2019 As of 12/31/2019			31/2020	As of 06/30/2020	
Fund Description	Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	Cost	Market Value
LSU Health Shreveport									
Current Funds									
Cash/Sweeps S.	\$89,889,498	\$70,683,655	\$70,683,655						
Cash/Sweeps	\$89,889,498	\$70,683,655	\$70,683,655	\$0	\$0	\$0	\$0	\$0	\$0
Money Market Accounts/Repos (A)									
Treasury Notes (B)	\$21,227,616	\$24,248,173	\$25,076,720						
Agency Securities (B)	\$7,837,263	\$5,503,179	\$5,493,463						
Mortgaged Backed Securities (B)	\$41,033	\$42,305	\$40,464						
Municipal Bonds (E)	\$14,427,928	\$10,453,357	\$10,527,028						
Corporate Bonds (D)	\$23,971,570	\$23,252,778	\$24,094,280						
Total	\$157,394,908	\$134,183,447	\$135,915,610	\$0	\$0	\$0	\$0	\$0	\$0
Endowment Funds									
Cash/Sweeps	\$2,155,226	\$1,608,982	\$1,608,982						
Equity Securities (C)	\$18,570	\$7,650	\$19.769						
Mutual Funds	\$62,469,830	\$57,440,989	\$63.561.655						
Total	\$64,643,626	\$59,057,621	\$65,190,406	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$222,038,534	\$193,241,068	\$201,106,016	\$0	\$0	\$0	\$0	\$0	\$0
LSU HCSD									
Current Funds									
Cash/Sweeps	\$71,884,901	\$81,683,701	\$81,683,701						
Total	\$71,884,901	\$81,683,701	\$81,683,701	\$0	\$0	\$0	\$0	\$0	\$0
Other Funds									
Cash/Sweeps	\$4.042.419	\$4,193,473	\$4,193,473						
Money Market Accounts/Repos (A)	\$8.767.824	\$8,814,509	\$8.814.509						
Equity Securities (C)	\$380.085	ψο,σ14,σσσ	\$350,226						
Total	\$13,190,328	\$13.007.982	\$13,358,208	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$85,075,229	\$94,691,683	\$95,041,909	\$0	\$0	\$0	\$0	\$0	\$0
	, ,, ,,	, , , , , , , , , , , , , , , , , , , ,	, , , ,		, ,	, ,		, ,	
System Total	\$904.579.145	\$998.726.941	\$1.014.316.081	\$0	\$0	\$0	\$0	\$0	\$0

- \* Negative balance in the cash/Sweeps section of the current funds does not respresent an actual negative bank balance, rather it represents a timing difference of the allocation of the current funds.
- \*\* Small endowment funds at LSUS were moved to the LSUS Foundation to accommodate Workday

  \*\*\* LSU Paid Campuses include the following: LSU, LSU Ag Center, LSUA, LSUE, LSUS, LSU Health New Orleans, and the LSU Pennington Biomedical Research Cente

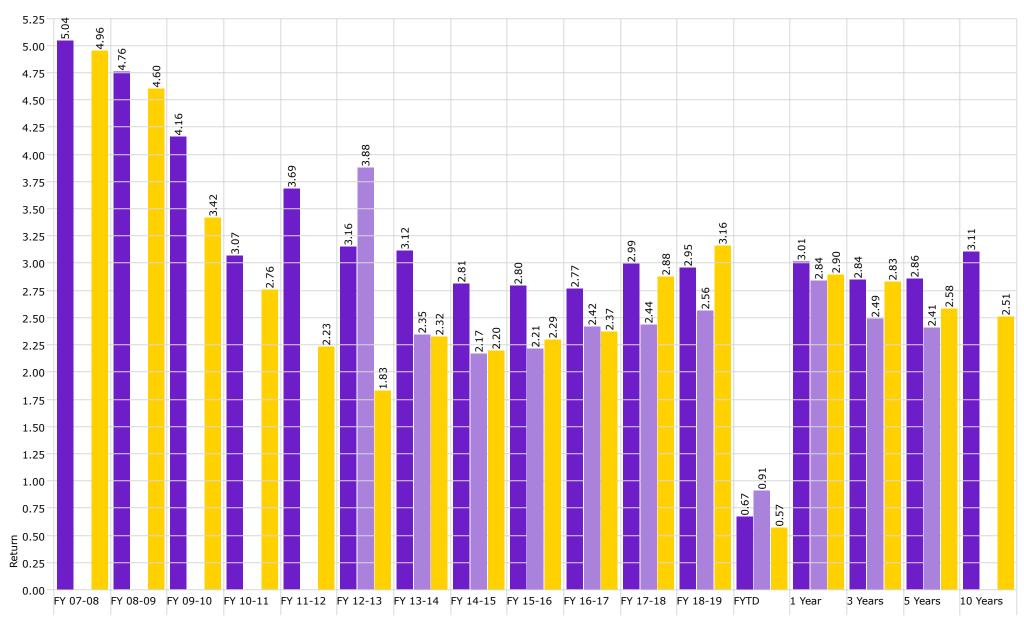
### BENCHMARK NOTES (Example Only)

- (A) Benchmarked against 90 day T-Bil (B) Benchmarked against Barclay's US Agg Bond TR USC (C) US equities benchmarked against Russell 3000 and international against MSCI emerging market (D) Benchmarked against XYZ
- (E) Benchmarked against XYZ

Disclaimer: Pursuant to PM-9, corporate bonds/notes only available for investment beginning 7-1-2011 Louisiana law provides for restrictions on maturity and allocation and may effect benchmark comparisons

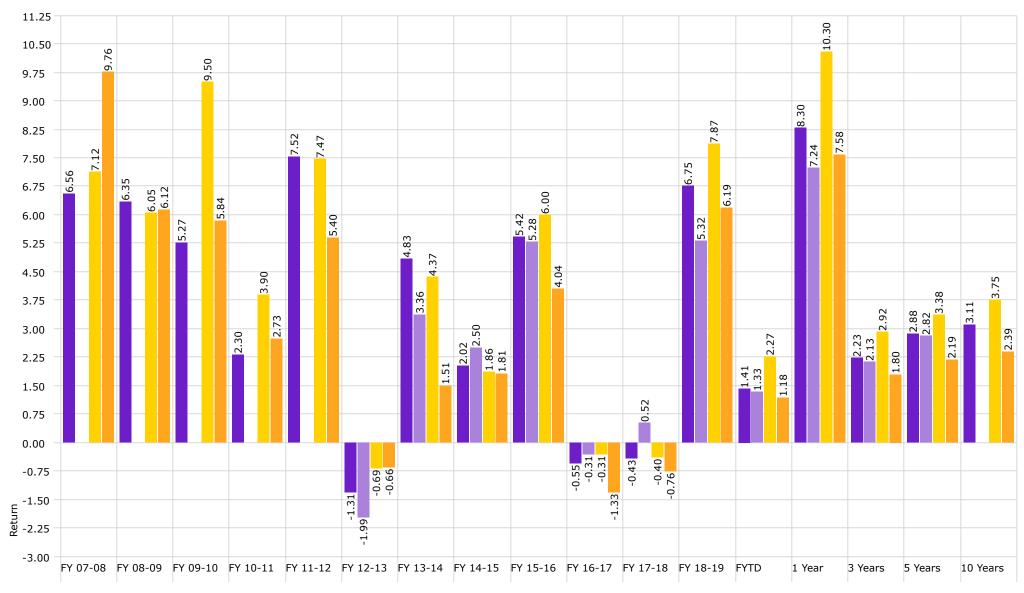


# Investment Management Program Non Endowed Accounts Realized Yield



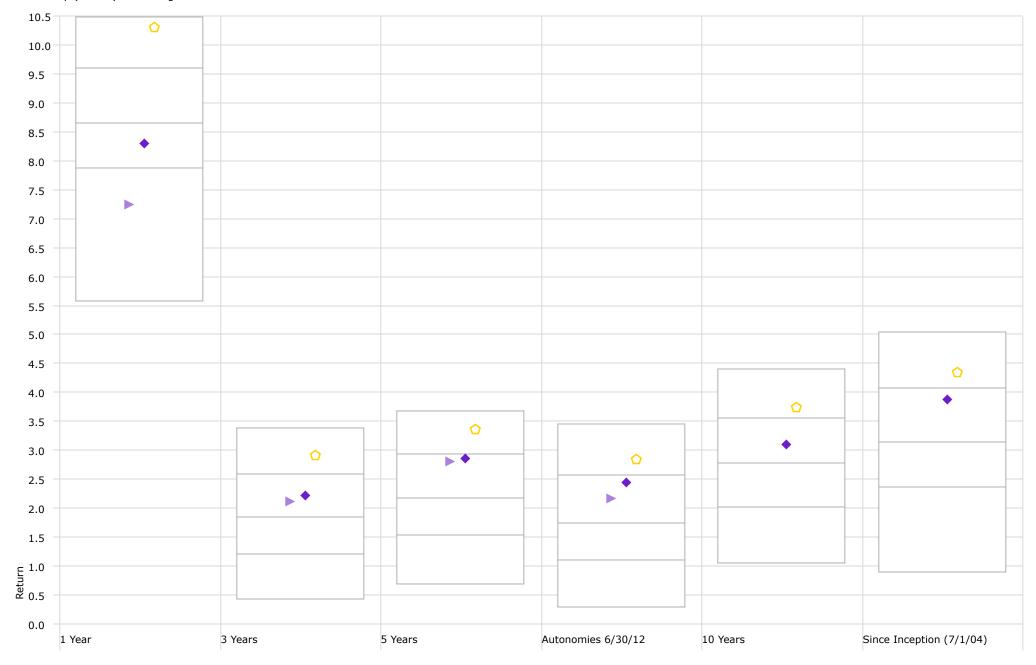


### Investment Management Program Non Endowed Accounts Total Return



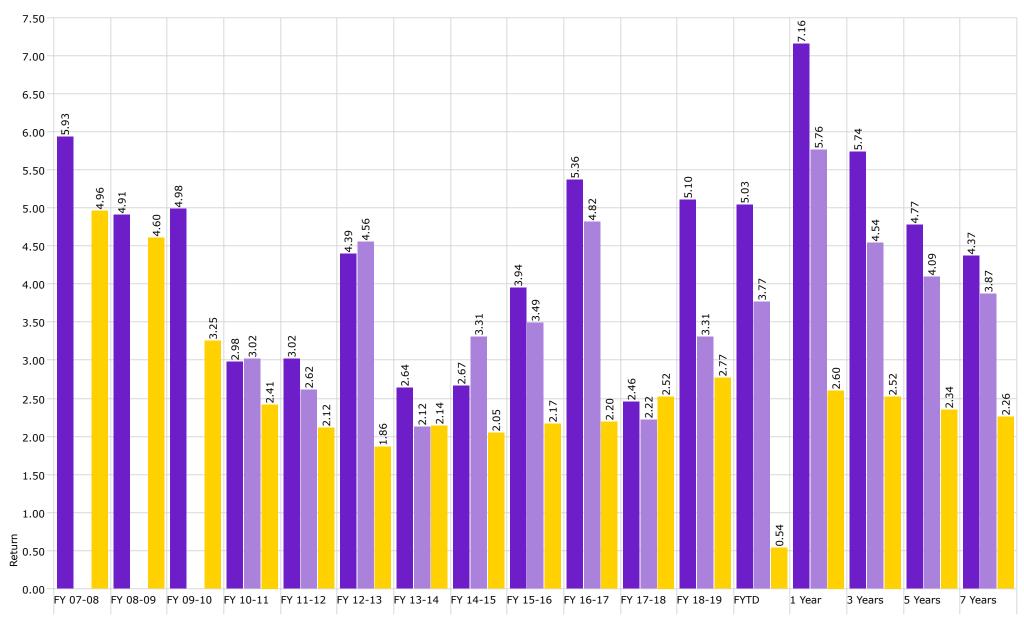
### Performance Relative to Peer Group

Peer Group (5-95%): All Managed Investments - U.S. - Intermediate Core Bond





## Investment Management Program Endowed Accounts Realized Yield





## Investment Management Program Endowed Accounts Total Return

